



ENFORCEMENT AND INVESTOR PROTECTION DEPARTMENT

SEC ADVISORY

The Commission has received reports and information that individuals or group of persons representing an entity named **TRADETECH ASIA**, are enticing the public to invest their money in the said entity with a promise of high monetary rewards or profits.

Based on information gathered and verified by the Commission, **TRADETECH ASIA** entices the public by offering an investment opportunity where for an investment of from Php300.00 up to Php50,000.00, the investor will earn **300% or Php900.00 up to Php150,000.00 in just 24 days**. In addition, an investor will also earn from their **Affiliate Program**, 15% commission on the 1st level, 3% for the 2nd, 2% for the 3rd and 1% from 4th up to the 8th level.

The graphic is split into two main sections. The left section features the TradeTech Asia logo and lists trading parameters: Minimum Trading Amount: Php300, Time Table: 24 days, Take Profit: 12.5% Daily, and Take Profit Hit: Every 8th days. Below this is a table showing trading amounts and their corresponding Total TPHit values. The right section is titled 'Affiliate Program' and shows a pyramid structure of 8 levels of affiliates with their respective commission rates: 15% for the 1st level, 3% for the 2nd level, 2% for the 3rd level, and 1% for levels 4 through 8. A text box on the right encourages sending a link from the 1st level down to the 8th level.

| Trading Amount | 8th Day TPHit | 16th Day TPHit | 24th Day TPHit | Total TPHit |
|----------------|---------------|----------------|----------------|-------------|
| 300 | 300 | 300 | 300 | 900 |
| 400 | 400 | 400 | 400 | 1,200 |
| 500 | 500 | 500 | 500 | 1,500 |
| 1,000 | 1,000 | 1,000 | 1,000 | 3,000 |
| 10,000 | 10,000 | 10,000 | 10,000 | 30,000 |
| 50,000 | 50,000 | 50,000 | 50,000 | 150,000 |

Briefly, an **Investment Contract** exist when there is an investment or placement of money in a common enterprise with a reasonable expectation of profits to be derived from the efforts of others which is prominent in the scheme of TRADETECH ASIA.

As the above described scheme involves the sale of securities to the public, the Securities Regulation Code (SRC) requires that these securities are duly registered and that the concerned entities and/or its agents have appropriate registration and/or license to sell such securities to the public pursuant to Section 8 and 28 of the SRC.

The public is hereby informed that **TRADETECH ASIA IS NOT REGISTERED** with the Commission. Hence, is **NOT AUTHORIZED to offer, solicit, sell or distribute any investment/securities to the public**. Such activities require a Secondary License from the Commission and the securities or investment product should likewise be registered with the SEC before they can be offered or sold to the public under Sections 8 and 12 of the Securities Regulation Code (SRC).

Moreover, the investment scheme being offered by **TRADETECH ASIA** has the characteristics of a **“Ponzi Scheme”** where moneys from new investors are used in paying “fake profits” to prior investors and is designed mainly to favor its top recruiters and prior risk takers and is detrimental to subsequent members in case of scarcity of new investors.

The offering and selling of securities in the form of **“investment contracts” using the “Ponzi Scheme” which is fraudulent and unsustainable, is NOT a registrable security.** The Commission will not issue a License to Sell Securities to the Public to persons or entities that are engaged in this business or scheme.

Further, **R.A. No. 11765** or the **Financial Products and Services Consumer Protection Act (FCPA)** also prohibits **“investment fraud”** which is defined under the law as any form of deceptive solicitation of investments from the public which includes Ponzi Schemes and such other schemes involving the promise or offer of profits or returns sourced from the investments or contribution made by the investors themselves and the offering or selling of investment schemes to the public without a license or permit from the SEC.

In view thereof, the public is hereby advised **NOT TO INVEST or to STOP INVESTING** in the investment schemes being offered by **TRADETECH ASIA** or its **representatives.**

Consequently, those who act as salesmen, brokers, dealers, agents, representatives, promoters, operators, recruiters, uplines, influencers, endorsers, abettors and enablers of **TRADETECH ASIA** in selling or convincing people to invest in their investment schemes including solicitations or recruitment through the internet may likewise be prosecuted and held criminally liable under **Section 11** of the **FCPA** and **Section 28** of the **SRC** which are **both penalized separately with a maximum fine of Five Million Pesos (Php5,000,000.00) or maximum penalty of Twenty-One (21) years imprisonment or both pursuant to Section 73 of the SRC.**

Also, those who invite or recruit others to join or invest in such venture or offer investment contracts or securities to the public may incur criminally liability, or otherwise be sanctioned or penalized accordingly as held by the Supreme Court in the case of *Securities and Exchange Commission vs. Oudine Santos (G.R. No. 195542, 19 March 2014)*.

Should you have any information regarding the operation of the subject entity, please send your reports through our email at epd@sec.gov.ph or you may visit the Commission’s Enforcement and Investor Protection Department (EIPD) located at the 9/F, The SEC Headquarters, 7907 Makati Avenue, Salcedo Village, Brgy. Bel-Air, Makati City.

For the information and guidance of the public.

Makati City, 13 June 2024.