



COMPANY REGISTRATION AND MONITORING DEPARTMENT

NOTICE

TO : ALL CONCERNED CORPORATIONS

SUBJECT: ENHANCED COMPLIANCE INCENTIVE PROGRAM

DATE : 30 AUGUST 2024

The Securities and Exchange Commission (SEC) is offering a chance for eligible corporations to reduce penalties and fines through the Enhanced Compliance Incentive Program (ECIP). This program is available to all corporations registered with the SEC, except for those listed below:

- a. Corporations whose securities are listed on the Philippine Stock Exchange ("PSE");
- b. Corporations whose securities are registered but not listed on the PSE;
- c. Corporations considered as Public Companies;
- d. Corporations with intra-corporate dispute;
- e. Corporations with disputed GIS;
- f. Corporations with expired corporate term; and
- g. Other corporations covered under Sec. 17.2 of RA No. 8799 or the "Securities Regulation Code.

To be eligible for reduced penalties, you must **SUBMIT ALL REQUIRED DOCUMENTS ON OR BEFORE 30 NOVEMBER 2024.** Failure to do so will result in the forfeiture of all paid ECIP fee and assessed penalties.

For a complete understanding of the program's requirements and procedures, please refer to <u>SEC Memorandum Circular No. 13, Series of 2024</u>

For any other inquiries or concerns, you may send an email to crmd-publicassistance@sec.gov.ph

