

# LIST OF SIMPLE, COMPLEX AND HIGHLY TECHICAL SERVICES

### OFFICE OF THE COMMISSION SECRETARY

### **EXTERNAL SERVICES**

- 1. Media Request for Interview, Information, and Update Simple
- 2. Request for Certification on Commission En Banc Resolutions/Certain Acts of the Commission Simple
- 3. Request for Certified True Copies (CTC) of Memorandum Circulars, Minutes of the Meeting and Resolutions Simple

### INTERNAL SERVICES

- 4. Dissemination of Certified True Copies of SEC Office Orders, SEC Memorandum Circulars, Guidelines, Notices, Rules and Regulations Simple
- 5. Preparation of Minutes of Commission Meetings and Executive Session Meetings Simple

### OFFICE OF THE GENERAL COUNSEL

### EXTERNAL SERVICES

- 1. Filing of Petition and Appeal Highly Technical
- 2. Filing of Request for Legal Opinion Highly Technical
- 3. Public Assistance on Walk-in/Phone-in Legal Queries Simple
- 4. Request for Certified True Copy (CTC) or Plain Copy of Documents Related to Cases Complex

INTERIM GUIDELINES FOR THE LIMITED MANUAL OPERATIONS OF OGC DURING THE PERIOD OF STATE OF NATIONAL EMERGENCY DUE TO PANDEMIC OR IN THE EVENT OF OTHER MAJOR DISRUPTION WHETHER CAUSED BY A NATURAL DISASTER OR OTHER CAUSES

- 5. Filing of Petition and Appeal Highly Technical
- 6. Filing of Request for Legal Opinion Highly Technical
- 7. Public Assistance on Walk-in/Phone-in Legal Queries Simple
- 8. Request for Certified True Copy (CTC) or Plain Copy of Documents Related to Cases Complex

### OFFICE OF THE GENERAL ACCOUNTANT

### **EXTERNAL SERVICES**

- 1. Accreditation of Asset Valuer and Professional Services Organization Highly Technical
- 2. Accreditation of Credit Rating Agencies Highly Technical
- 3. Application for Inclusion in The List of Accredited/Selected External Auditors Individual and Auditing Firm Highly Technical
- 4. Complex Request for Opinion and/or Clarification on Accounting Matters Complex
- 5. Highly Technical Request for Opinion and/or Clarification on Accounting Matters Highly Technical
- 6. Request for Exemptive Relief Highly Technical
- 7. Simple Request for Opinion and/or Clarification on Accounting Matters Simple

### INTERNAL SERVICES

8. Review of Financial Statements Referred by Operating Departments - Complex

# COMPANY REGISTRATION AND MONITORING DEPARTMENT

- 1. Application for Amendment of Articles of Incorporation and/or By-laws of Domestic Corporations Simple and Complex
- 2. Application for Amendment of License of Foreign and Multinational Corporations Complex
- 3. Application for Amendment of Partnerships Simple
- 4. Application for Dissolution of Partnerships Simple
- 5. Application for Payment of Annual Fees of Capital Market Institutions and Professionals through the CMPRS Simple
- 6. Application for Registration of Capital Market Participants through the Capital Market Participants Registry System Simple
- 7. Application for Registration of Secondary License of Capital Market Institutions Highly Technical
- 8. Application for Renewal of License for Transfer Agents Simple
- 9. Application for Withdrawal of License of Foreign or Multinational Corporations Complex
- 10. Applications for Appointment/Substitution of Resident Agent of Foreign or Multinational Corporations Simple
- 11. Cash or Stock Dividend Highly Technical
- 12. Certification of Paid-up Capital / Capital Structure / Percentage of Ownership Highly Technical

- 13. Compliance with SEC Memorandum Circular No. 14, Series of 2013 (Guidelines covering the Use of Properties that require Ownership Registration as Paid-up Capital) Simple
- 14. Compliance with Securities Deposit Highly Technical
- 15. Confirmation of Valuation Highly Technical
- 16. Creation of Additional Paid-in Capital (APIC) Highly Technical
- 17. Creation of Bonded Indebtedness Highly Technical
- 18. Decrease of Capital Stock Highly Technical
- 19. Dissolution Highly Technical
- 20. Equity Restructuring Highly Technical
- 21. Increase of Capital Stock by way of Cash Highly Technical
- 22. Increase of Capital Stock through Payment other than Cash Highly Technical
- 23. Issuance of Affirmative Certification Simple
- 24. Issuance of Affirmative Certification with Secondary License Simple
- 25. Issuance of Affirmative Certification without Secondary License Simple
- 26. Issuance of Certificates of No Derogatory Information Simple
- 27. Issuance of Certification with or without Secondary License Simple
- 28. Issuance of Negative Certification Simple
- 29. Issuance of Negative Certification with Secondary License Complex
- 30. Issuance of Negative Certification without Secondary License Simple
- 31. Issuance of Plain/Authenticated Copies of Documents Simple
- 32. Licensing of Foreign Corporations through the Company Registration System (CRS) Highly Technical
- 33. Merger / Consolidation Highly Technical
- 34. Monitoring of Corporations where there is more than one set of General Information Sheet (GIS) Simple
- 35. Petition for Cancellation or Substitution of Payment in relation to the Use of Properties that require Ownership Registration as Paid-Up Capital (SEC Memorandum Circular No. 14, series of 2013) Highly Technical
- 36. Petition for Correction of Articles of Incorporation and/or By-laws and subsequent amendments of a Domestic Corporations Highly Technical
- 37. Petition for Revival of Corporate Existence Highly Technical
- 38. Petition to Lift Order of Revocation/Suspension Highly Technical
- 39. Property Dividend Declaration Highly Technical
- 40. Public Assistance and Complaint Action Simple
- 41. Quasi-Reorganization Highly Technical
- 42. Reclassification / Declassification / Conversion of Shares Highly Technical

- 43. Registration and Issuance of a Certificate of Authority of a Lending Company Simple
- 44. Registration and Issuance of Certificate of Authority of a Financing Company Simple
- 45. Registration of Corporations through the Company Registration System (CRS) under Manual Processing Simple
- 46. Registration of Corporations through the CRS Simple
- 47. Registration of Corporations through the CRS under Lane for Easy Application Processing (L.E.A.P) Simple
- 48. Registration of Corporations with less than 5 Incorporators through Manual Processing Simple
- 49. Registration of One Person Corporation (OPC) through the Central Business Portal (CBP) Simple
- 50. Registration of Partnerships through the CRS Simple
- 51. Request for Payment in Installment or Reduction in Fines Highly Technical
- 52. Request for Regular Monitoring of Domestic Corporations (Ordinary Stock and Non-Stock) Highly Technical
- 53. Request for Regular Monitoring of Foreign Corporations (Branch Offices, Representative Offices, Regional Area Headquarters, and Regional Operating Headquarters) Highly Technical
- 54. Two (2) -Party Action/Complaint Highly Technical

### INTERNAL SERVICES

- 55. Issuance of Affirmative Certification Simple
- 56. Issuance of Negative Certification Simple
- 57. Issuance of Plain/Authenticated Copies of Documents Simple

INTERIM GUIDELINES FOR THE LIMITED MANUAL OPERATIONS OF CRMD DURING THE PERIOD OF STATE OF NATIONAL EMERGENCY DUE TO PANDEMIC OR IN THE EVENT OF OTHER MAJOR DISRUPTION WHETHER CAUSED BY A NATURAL DISASTER OR OTHER CAUSES

- 58. Application for Amendment of Articles of Incorporation (other than Article VII) and/or By-laws of Domestic Corporations through electronic mail. Simple and Complex
- 59. Application for Amendment of License of Foreign and Multinational Corporations through electronic mail Complex
- 60. Application for Amendment of Partnerships (including Dissolution) through electronic mail Simple
- 61. Application for Appointment/Substitution of Resident Agent of Foreign or Multinational Corporations through electronic mail Simple
- 62. Application for Registration of Capital Market Institutions Highly Technical
- 63. Application for Renewal of License for Transfer Agents During Community Quarantine Simple
- 64. Application for Withdrawal of License of Foreign or Multinational Corporations through electronic mail Complex

- 65. Cash or Stock Dividend Highly Technical
- 66. Certification of Paid-up Capital / Capital Structure / Percentage of Ownership Highly Technical
- 67. Confirmation of Valuation Highly Technical
- 68. Creation of Additional Paid-in Capital (APIC) Highly Technical
- 69. Creation of Bonded Indebtedness Highly Technical
- 70. Decrease of Capital Stock Highly Technical
- 71. Dissolution Highly Technical
- 72. Equity Restructuring Highly Technical
- 73. Increase of Capital Stock by way of Cash Highly Technical
- 74. Increase of Capital Stock through payment other than cash Highly Technical
- 75. Merger / Consolidation Highly Technical
- 76. Petition for Correction through electronic mail Highly Technical
- 77. Property Dividend Declaration Highly Technical
- 78. Public Assistance and Complaint Action through Electronic Mail Simple
- 79. Quasi-Reorganization Highly Technical
- 80. Reclassification / Declassification / Conversion of Shares / Change in par value Highly Technical
- 81. Registration of One Person Corporation and Corporations with less than 5 Incorporators through the Interim Registration System (IRS) Simple
- 82. Request for Affirmative Certification through electronic mail Simple
- 83. Request for Affirmative Certification with or without Secondary License through electronic mail Simple
- 84. Request for Affirmative Certification with Secondary License through electronic mail Simple
- 85. Request for Certificate of No Derogatory Information through electronic mail Simple
- 86. Request for Negative Certification through electronic mail Simple
- 87. Request for Negative Certification with or without Secondary License through electronic mail Simple
- 88. Request for Negative Certification with Secondary License through electronic mail Simple
- 89. Request for Plain/Authenticated Copies of Documents for Government Agencies through electronic mail Simple

INTERIM GUIDELINES FOR THE LIMITED MANUAL OPERATIONS OF CRMD DURING THE PERIOD OF STATE OF NATIONAL EMERGENCY DUE TO PANDEMIC OR IN THE EVENT OF OTHER MAJOR DISRUPTION WHETHER CAUSED BY A NATURAL DISASTER OR OTHER CAUSES

### INTERNAL SERVICES

90. Request for Affirmative Certification through electronic mail - Simple

- 91. Request for Negative Certification through electronic mail Simple
- 92. Request for Plain/Authenticated Copies of Documents for Government Agencies through electronic mail Simple

### MARKETS AND SECURITIES REGULATION DEPARTMENT

- 1. Accreditation of Surety Companies Complex
- 2. Application for Certificate of Permit to Offer Securities for Sale and Order of SR for Equities, Debt Securities, Real Estate Investment Trust (REIT) Highly Technical
- 3. Application for Confirmation of Exempt Transaction Highly Technical
- 4. Application for Over-the-Counter Market/ Central Trade Reporting System/ Association of Securities Brokers and Dealers Highly Technical
- 5. Application for Registration as an Exchange/SRO/Clearing Agency/ Clearing Agency-SRO and Depository Highly Technical
- 6. Application for Registration as Operator of Alternative Trading System Highly Technical
- 7. Application of Registration as Registrar of Qualified Buyer Complex
- 8. Exemption from Registration of Limited Public Offering and Other Exempt Transaction Highly Technical
- 9. Exemption from Registration of Securities including Stock Options Highly Technical
- 10. Petition for Voluntary Revocation of Permit to offer Securities for Sale and Order of Registration for Equities, Debt Securities, Real Estate Investment Trust (REIT) Highly Technical
- 11. Request for Comment/Recommendation on the Application for Articles of Incorporation/By-Laws/Secondary License and amendments relative thereto that falls within the jurisdiction of the Department Complex
- 12. Request for Exemptive Relief/Confidential Treatment of Information Filed Highly Technical
- 13. Request for SEC MSRD Certification Simple
- 14. Review of Information Statement prior to its Distribution in the Stockholders Meeting Simple

### CORPORATE GOVERNANCE AND FINANCE DEPARTMENT

### EXTERNAL SERVICES

- 1. Accreditation of Microfinance Non-Government Organizations (MF-NGOs) under Republic Act (R.A.) No. 10693 (Microfinance NGOs Act) Highly Technical
- 2. Amendment of Registration Statement Highly Technical
- 3. Application for Accreditation as Corporate Governance Institutional Training Provider Complex
- 4. Application for Renewal of Accreditation as Corporate Governance Institutional Training Provider Complex
- 5. Petition for Voluntary Revocation Highly Technical
- 6. Processing of Information Statement (SEC Form 20-IS) Highly Technical
- 7. Receiving of Walk-in Complaints Filed Against Covered Companies Simple
- 8. Registration of Securities and Licensing of Investment Company Highly Technical
- 9. Request for Approval of In-House Corporate Governance Training/Seminar Complex
- 10. Request for Clearance for Financing Companies/Lending Companies/Foundations/Accredited Microfinance NGOs Complex
- 11. Request for Clearance of Publicly-Listed Companies (PLCS)\* Simple
- 12. Request for Comment on Articles of Incorporation and/or By-Laws of Ordinary Companies, PLCs, Investment Companies, ETFs, PCs, Issuers of Proprietary and Non-Proprietary Securities Simple
- 13. Request for Exemptive Relief / Confidential Treatment / Other Filing Made Requiring Action by the Department Highly Technical
- 14. Request for Monitoring of Investment Company, Public Company, and Issuer of Proprietary and Non-proprietary Shares or Certificates Simple
- 15. Request for Payment Assessment Form (for Payment of Annual Fee and Penalties) Simple

### INTERNAL SERVICES

- 16. Request for Validation of Qualification of Nominees for Independent Directors (IDs) of Publicly-Listed Companies (PLCs), Investment Companies (ICs), Exchange Traded Funds (ETFs), Public Companies (PCs), Issuers of Proprietary and Non- Proprietary Securities (RIs) Complex
- 17. Review of Websites Pursuant to the SEC-Prescribed Website Template Simple

### ENFORCEMENT AND INVESTOR PROTECTION DEPARTMENT

### **EXTERNAL SERVICES**

- 1. Receipt and Filing of Complaint by Walk-In Clients with the EIPD Simple
- 2. Request for Certified True Copy of Documents by Walk-In Clients Complex

### ECONOMIC RESEARCH AND TRAINING DEPARTMENT

### **EXTERNAL SERVICES**

- 1. Application for SEC Certification Webinar Simple
- 2. Application for the Main Office (Computer-Based) and Provincial (Paper and Pen) Certification Examination Simple
- 3. Certification of Rank in the Top Philippine Corporations Simple
- 4. Complex Request for SEC Statistics, Reports, and Data (SRD) Complex
- 5. Highly Technical Request for SEC Statistics, Reports, and Data (SRD) Highly Technical
- 6. Provision of Copy of the Annual Ranking of Top Philippine Corporations Simple
- 7. Request for Online Orientation on SEC Mandates & Functions and Other Related Topics Simple
- 8. Request for Provincial (Paper and Pen) Certification Examination Simple
- 9. Simple Request for SEC Statistics, Reports and/or Data (SRD) Simple

### INTERNAL SERVICES

- 10. Complex Request for SEC Statistics, Reports, and Data (SRD) Complex
- 11. Highly Technical Request of SEC Statistics, Reports, and Data (SRD) Highly Technical
- 12. Provision of Copy of the Annual Ranking of Top 1K Corporations Simple

### INFORMATION AND COMMUNICATIONS TECHNOLOGY DEPARTMENT

- 1. Complex Request for Copy/Copies of Corporate Documents (By Appointment) CLIENTS REQUEST FOR PLAIN/AUTHENTICATED COPY OF DOCUMENT/S OPTION 1 BY APPOINTMENT Complex
- 2. Complex Request for Copy/Copies of Corporate Documents (By Courier) CLIENTS REQUEST FOR PLAIN/AUTHENTICATED COPY OF DOCUMENT/S OPTION 2 BY COURIER Complex
- 3. Complex Request for Listings Complex
- 4. Complex Request for Reverse Search Complex
- 5. Highly Technical Request for Listings Highly Technical
- 6. Highly Technical Request for Reverse Search Highly Technical
- 7. Receiving of Documents: Reports/Reportorial Requirements (I.E. GIS & AFS) (Walk-in) RECEIVING OF REPORTS/REPORTORIAL REQUIREMENTS OPTION 1 (WALK-IN) Simple
- 8. Receiving of Documents: Reports/Reportorial Requirements (I.E. GIS & AFS) (Online Submission) RECEIVING OF REPORTS/REPORTORIAL REQUIREMENTS OPTION 2 (ONLINE SUBMISSION) Simple
- 9. Receiving of Documents: Reports/Reportorial Requirements (I.E. GIS & AFS) (Walk-In, Mailed Delivery of Correspondences, Other Documents RECEIVING OF REPORTS/REPORTORIAL REQUIREMENTS OPTION 3 (WALK-IN, MAILED DELIVERY OF CORRESPONDENCES, OTHER DOCUMENTS Simple
- 10. Simple Request for Copy/Copies of Corporate Documents (By Appointment) CLIENTS REQUEST FOR PLAIN/AUTHENTICATED COPY OF DOCUMENT/S OPTION 1 BY APPOINTMENT Simple
- 11. Simple Request for Copy/Copies of Corporate Documents (By Courier) CLIENTS REQUEST FOR PLAIN/AUTHENTICATED COPY OF DOCUMENT/S OPTION 2 BY COURIER Simple
- 12. Simple Request for Listings Simple
- 13. Simple Request for Reverse Search Simple

### HUMAN RESOURCE AND ADMINISTRATIVE DEPARTMENT

### **EXTERNAL SERVICES**

- 1. Issuance of Bid Documents (For Public Bidding) Simple
- 2. Issuance of Notice of Award Simple
- 3. Issuance of Notice to Proceed Simple
- 4. Posting of Performance Security Simple
- 5. Release of Perfected Contracts/Purchase Orders/Work Orders Simple
- 6. Routine Queries (Phone-in, Walk-in) Simple

### EXTERNAL AND INTERNAL SERVICES

- 7. Legal Research Assistance (with the Aid of Online Legal Facilities) Simple
- 8. Library Services Access to Library Resources (Room Use Reading) Simple
- 9. Library Services Circulation Services Simple
- 10. Library Services Photocopying/Printing Services Simple
- 11. Reference Queries in SEC Library Simple

# **INTERNAL SERVICES**

- 12. Building Services Simple
- 13. Contract Administration Services Simple
- 14. GSD Photocopying/Printing Services Simple
- 15. Processing of Billing for Transmittal to FMD payment of the following Services (Lease of office spaces, Security, Janitorial, Water, Electricity, Telecommunications, Internet, Pest-Control, and other services necessary to the operations of the commission email, copier, etc.) Simple
- 16. Request for Issuance of Certificates Simple
- 17. Request for Issuance of Certified True Copy of Appointment Paper, Certificate of Assumption to Duty, Oath of Office, and Position Disposition Form Simple
- 18. Request for Issuance of Certified True Copy of SALN Simple
- 19. Request for Issuance of Rating and Certified True Copy of IPCR/DPCR Simple

- 20. Request for Issuance of Service Record Simple
- 21. Request for Loan Complex
- 22. Request for Membership in Medicard, Pag-ibig and PhilHealth Simple
- 23. Request for Travel Authority Simple
- 24. Supply Services Simple
- 25. Transport and Messengerial Services Simple

# FINANCIAL MANAGEMENT DEPARTMENT

### **EXTERNAL SERVICES**

- 1. Complex Request for Certified True Copy (CTC) of Official Receipt Complex
- 2. Payment of Fees for Non-Registration Transaction Simple
- 3. Payment of Fees for Registration Transaction Simple
- 4. Purchase of Pin Mailer Simple
- 5. Simple Request for Certified True Copy (CTC) of Official Receipt Simple

# **EXTERNAL AND INTERNAL SERVICES**

6. Issuance and Release of Payment - Simple

# INTERNAL SERVICES

7. Request for Re-Application/Transfer of Payment - Simple

# **EXTENSION OFFICES (EXTERNAL SERVICES)**

# **BAGUIO CITY**

- 1. Application for Amendment of Articles of Incorporation and/or By-laws of Domestic Corporations Simple and Complex
- 2. Application for Amendment of Partnerships Simple
- 3. Certification of Paid-up Capital / Capital Structure / Percentage of Ownership Highly Technical
- 4. Increase of Capital Stock by way of Cash Highly Technical
- 5. Increase of Capital Stock through Payment other than Cash Highly Technical
- 6. Issuance of Certificates of No Derogatory Information Complex
- 7. Issuance of Plain/Authenticated Copies of Documents Simple
- 8. Public Assistance and Complaint Action Simple
- 9. Receiving of Document/s (Reports/Reportorial Requirements i.e. General Information Sheet and Audited Financial Statements; documents other than reports i.e. correspondences, etc.) Simple
- 10. Registration of Corporations through the Company Registration System (CRS) under Manual Processing Simple
- 11. Registration of Corporations with less than 5 Incorporators Simple
- 12. Registration of One Person Corporation (OPC) Complex and Highly Technical
- 13. Request for Regular Monitoring of Domestic Corporations (Ordinary Stock and Non-Stock) Simple

# **TARLAC CITY**

- 1. Application for Amendment of Articles of Incorporation and/or By-laws of Domestic Corporations Simple and Complex
- 2. Application for Amendment of Partnerships Simple
- 3. Certification of Paid-up Capital / Capital Structure / Percentage of Ownership Highly Technical
- 4. Increase of Capital Stock by way of Cash Highly Technical
- 5. Increase of Capital Stock through Payment other than Cash Highly Technical

- 6. Issuance of Certificates of No Derogatory Information Simple
- 7. Issuance of Plain/Authenticated Copies of Documents Simple
- 8. Receiving of Document/s (Reports/Reportorial Requirements i.e. General Information Sheet and Audited Financial Statements; documents other than reports i.e. correspondences, etc.) Simple
- 9. Registration of Corporations through the Company Registration System (CRS) under Manual Processing Simple
- 10. Registration of Corporations through the CRS Simple
- 11. Registration of Corporations with less than 5 Incorporators through interim system Simple
- 12. Registration of One Person Corporation (OPC) Simple
- 13. Registration of Partnerships through the CRS Simple
- 14. Request for Regular Monitoring of Domestic Corporations (Ordinary Stock and Non-Stock) Simple

# **LEGAZPI CITY**

- 1. Application for Amendment of Articles of Incorporation and/or By-laws of Domestic Corporations Simple and Complex
- 2. Application for Amendment of Partnerships Simple
- 3. Certification of Paid-up Capital / Capital Structure / Percentage of Ownership Highly Technical
- 4. Increase of Capital Stock by way of Cash Highly Technical
- 5. Issuance of Certificates of No Derogatory Information Simple
- 6. Issuance of Plain/Authenticated Copies of Documents Simple
- 7. Public Assistance and Complaint Action Simple
- 8. Receiving of Document/s (Reports/Reportorial Requirements i.e. General Information Sheet and Audited Financial Statements; documents other than reports i.e. correspondences, etc.) Simple
- 9. Registration of Corporations with less than 5 Incorporators Simple
- 10. Registration of Corporations/Partnership through the Company Registration System (CRS) under Manual Processing Simple
- 11. Registration of One Person Corporation (OPC) Simple
- 12. Request for Regular Monitoring of Domestic Corporations (Ordinary Stock and Non-Stock) Simple

# **CEBU CITY**

- 1. Application for Amendment of Articles of Incorporation and/or By-laws of Domestic Corporations Simple and Complex
- 2. Application for Amendment of Partnerships Simple
- 3. Cash or Stock Dividends Highly Technical
- 4. Certification of Paid-up Capital / Capital Structure / Percentage of Ownership Highly Technical
- 5. Confirmation of Valuation Highly Technical
- 6. Creation of Additional Paid-in Capital (APIC) Highly Technical
- 7. Decrease of Capital Stock Highly Technical
- 8. Dissolution Highly Technical
- 9. Equity Restructuring Highly Technical
- 10. Increase of Capital Stock by way of Cash Highly Technical
- 11. Increase of Capital Stock through payment other than cash Highly Technical
- 12. Issuance of Certificates of No Derogatory Information Simple
- 13. Issuance of Plain/Authenticated Copies of Documents Simple
- 14. Property Dividend Declaration Highly Technical
- 15. Public Assistance & Complaint Desk Simple
- 16. Quasi-Reorganization Highly Technical
- 17. Receiving of Document/s (Reports/Reportorial Requirements i.e. General Information Sheet and Audited Financial Statements; documents other than reports i.e. correspondences, etc.) Simple
- 18. Reclassification / Declassification / Conversion of Shares Highly Technical
- 19. Registration of corporations with less than 5 incorporators through the Interim Registration System (IRS) Simple
- 20. Registration of One Person Corporations (OPCs) through the Interim Registration System (IRS) Simple
- 21. Registration of Partnerships and Corporations through the Company Registration System (CRS) under Manual Processing Simple
- 22. Request for Regular Monitoring of Domestic Corporations (Ordinary Stock and Non-Stock) Simple

# **BACOLOD CITY**

- 1. Application for Amendment of Articles of Incorporation and/or By-laws of Domestic Corporations Simple and Complex
- 2. Application for Amendment of Partnerships Simple
- 3. Issuance of Certificates of No Derogatory Information Simple
- 4. Issuance of Plain/Authenticated Copies of Documents Simple
- 5. Public Assistance and Complaint Action Simple
- 6. Receiving of Document/s (Reports/Reportorial Requirements i.e. General Information Sheet and Audited Financial Statements; documents other than reports i.e. correspondences, etc.) Simple
- 7. Request for Regular Monitoring of Domestic Corporations (Ordinary Stock and Non-Stock) Simple

# **ILOILO CITY**

- 1. Application for Amendment of Articles of Incorporation and/or By-laws of Domestic Corporations Simple and Complex
- 2. Application for Amendment of Partnerships Simple
- 3. Certification of Paid-up Capital / Capital Structure / Percentage of Ownership Highly Technical
- 4. Increase of Capital Stock by way of Cash Highly Technical
- 5. Issuance of Certificates of No Derogatory Information Simple
- 6. Issuance of Plain/Authenticated Copies of Documents Simple
- 7. Public Assistance and Complaint Action Simple
- 8. Receiving of Document/s (Reports/Reportorial Requirements i.e. General Information Sheet and Audited Financial Statements; documents other than reports i.e. correspondences, etc.) Simple
- 9. Registration of Corporations through the Company Registration System (CRS) under Manual Processing Simple
- 10. Registration of Corporations through the CRS Simple
- 11. Registration of Corporations with less than 5 Incorporators Simple
- 12. Registration of One Person Corporation (OPC) Simple
- 13. Registration of Partnerships through the CRS Simple
- 14. Request for Regular Monitoring of Domestic Corporations (Ordinary Stock and Non-Stock) Simple

# **CAGAYAN DE ORO CITY**

- 1. Application for Amendment of Articles of Incorporation and/or By-laws of Domestic Corporations Complex
- 2. Application for Amendment of Partnerships Simple
- 3. Certification of Paid-up Capital / Capital Structure / Percentage of Ownership Highly Technical
- 4. Increase of Capital Stock by way of Cash Highly Technical
- 5. Increase of Capital Stock through payment other than cash Highly Technical
- 6. Issuance of Certificates of No Derogatory Information Simple
- 7. Issuance of Plain/Authenticated Copies of Documents Simple
- 8. Public Assistance and Complaint Action Simple
- 9. Receiving of Document/s (Reports/Reportorial Requirements i.e. General Information Sheet and Audited Financial Statements; documents other than reports i.e. correspondences, etc.) Simple
- 10. Registration of Corporations through the Company Registration System (CRS) under Manual Processing Simple
- 11. Registration of Corporations with less than five incorporators Simple
- 12. Registration of One Person Corporation (OPC) Simple
- 13. Request for Regular Monitoring of Domestic Corporations (Ordinary Stock and Non-Stock) Simple

# **DAVAO CITY**

- 1. Application for Amendment of Articles of Incorporation and/or By-laws of Domestic Corporations Highly Technical
- 2. Application for Amendment of Partnerships Highly Technical
- 3. Certification of Paid-up Capital / Capital Structure / Percentage of Ownership Highly Technical
- 4. Highly Technical Registration of Corporations through the Company Registration System (CRS) under Manual Processing Highly Technical
- 5. Highly Technical Registration of Corporations with 2-4 Incorporators Through Interim System Highly Technical
- 6. Increase of Capital Stock by way of Cash Highly Technical
- 7. Increase of Capital Stock through payment other than cash Highly Technical
- 8. Issuance of Certificate of No Derogatory Information Simple
- 9. Issuance of Certification as to Status of a Registered Corporation Simple
- 10. Issuance of Negative Certification Simple
- 11. Issuance of Plain/Authenticated Copies of Documents Simple

- 12. Issuance of Plain/Authenticated Copies of Documents for Other Government Offices Simple
- 13. Public Assistance Simple
- 14. Receiving of Document/s (Reports/Reportorial Requirements i.e. General Information Sheet and Audited Financial Statements; documents other than reports i.e. correspondences, etc.) Simple
- 15. Registration of One Person Corporations Through Interim System (Without Secondary License for the duration of pandemic) Simple
- 16. Registration of Partnerships through the Company Registration System (CRS) (Without Secondary License during of pandemic) Simple
- 17. Registration of Partnerships through the Company Registration System (CRS) (For Issuance of Certificate of Records of Partnerships) Simple
- 18. Request for Regular Monitoring of Domestic Corporations (Ordinary Stock and Non-Stock) Highly Technical
- 19. Simple Registration of Corporations through the Company Registration System (CRS) under Manual Processing Simple
- 20. Simple Registration of Corporations with 2-4 Incorporators Through Interim System Simple
- 21. Simple Registration of One Person Corporations Through Interim System (Pursuant to Section 10 of RCC) Simple

# **ZAMBOANGA CITY**

- 1. Application for Amendment of Articles of Incorporation and/or By-laws of Domestic Corporations Simple and Complex
- 2. Application for Amendment of Partnerships Simple
- 3. Certification of Paid-up Capital / Capital Structure / Percentage of Ownership Highly Technical
- 4. Increase of Capital Stock by way of Cash Highly Technical
- 5. Increase of Capital Stock through payment other than cash Highly Technical
- 6. Issuance of Certificates of No Derogatory Information Highly Technical
- 7. Issuance of Plain/Authenticated Copies of Documents Simple
- 8. Public Assistance & Complaint Desk Simple
- 9. Receiving of Document/s (Reports/Reportorial Requirements i.e. General Information Sheet and Audited Financial Statements; documents other than reports i.e. correspondences, etc.) Simple
- 10. Registration of Corporations through the Company Registration System (CRS) under Manual Processing Simple
- 11. Registration of Corporations with less than 5 Incorporators Simple
- 12. Registration of One Person Corporation (OPC) Simple
- 13. Request for Regular Monitoring of Domestic Corporations (Ordinary Stock and Non-Stock) Simple

# SUMMARY

Department/Office	No. of Simple Transactions	No. of Complex Transactions	No. of Highly Technical Transactions	Total No. of Transactions
ocs	5	0	0	5
OGC	2	2	4	8
OGA	1	2	5	8
CRMD	45	7	40	92
MSRD	2	3	9	14
CGFD	6	5	6	17
EIPD	1	1	0	2
ERTD	8	2	2	12
ICTD	7	4	2	13
HRAD	24	1	0	25
FMD	6	1	0	7
BAGUIO EO	7	2	4	13
TARLAC EO	10	1	3	14
LEGAZPI EO	9	1	2	12
CEBU EO	9	1	12	22
BACOLOD EO	6	1	0	7
ILOILO EO	11	1	2	14
CDO EO	9	1	3	13
DAVAO EO	13	0	8	21
ZBO EO	8	1	4	13
GRAND TOTAL	189	37	106	332