


QUARTERLY REPORT OF REVENUE AND OTHER RECEIPTS

As at the Quarter Ending December 31, 2021

Department : Department of Finance (DOF)
 Agency : Securities and Exchange Commission
 Operating Unit : < not applicable >
 Organization Code (UACS) : 11 011 0000000
 Fund Cluster : 01 - Regular Agency Fund

| Classification/Sources of Revenue and Other Receipts | UACS CODE | REVENUE TARGET (Annual) per BESF | Actual Revenue and Other Receipts Collections | | | | | Cumulative Remittance/Deposits to Date | | | Variance | | Remarks |
|--|------------|----------------------------------|---|----------------------------|-----------------------------|----------------------------|----------------|--|---------------------|----------------|-----------------|-----------|---------|
| | | | 1st Quarter Ending March 31 | 2nd Quarter Ending June 30 | 3rd Quarter Ending Sept. 30 | 4th Quarter Ending Dec. 31 | Total | Remittance to BTr | Deposited with AGDB | TOTAL | Amount | % | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8=({8+(-)-8+9} | 9 | 10 | 11=(9+10) | 12=(8-3) | 13=(12/3) | 14 |
| Regular Agency Fund | | 392,096,429.21 | 112,855,931.87 | 80,649,716.46 | 200,747,584.25 | 57,876,407.56 | 452,129,640.14 | 451,041,466.42 | 647,444.97 | 451,888,911.39 | 60,033,210.93 | 15 % | |
| Revenue Collections | | 392,096,429.21 | 87,985,825.83 | 80,559,316.46 | 200,673,755.18 | 57,722,924.64 | 426,941,822.11 | 426,701,093.36 | 0.00 | 426,701,093.36 | 34,845,392.90 | 9 % | |
| Cash Revenue | | 392,096,429.21 | 87,985,825.83 | 80,559,316.46 | 200,673,755.18 | 57,722,924.64 | 426,941,822.11 | 426,701,093.36 | 0.00 | 426,701,093.36 | 34,845,392.90 | 9 % | |
| Non-Tax | | 392,096,429.21 | 87,985,825.83 | 80,559,316.46 | 200,673,755.18 | 57,722,924.64 | 426,941,822.11 | 426,701,093.36 | 0.00 | 426,701,093.36 | 34,845,392.90 | 9 % | |
| Registration Fees | 4020102000 | 124,006,844.82 | 45,864,493.38 | 47,804,935.33 | 48,029,084.06 | 31,737,668.92 | 173,436,381.69 | 173,436,381.69 | 0.00 | 173,436,381.69 | 49,429,536.87 | 40 % | |
| Licensing Fees | 4020106000 | 11,468,045.05 | 3,874,160.43 | 1,823,054.38 | 2,267,125.25 | 1,770,650.81 | 9,734,990.87 | 9,742,772.12 | 0.00 | 9,742,772.12 | (1,733,054.18) | -15 % | |
| Fines and Penalties - Service Income | 4020114000 | 15,846,306.88 | 4,867,391.12 | 8,032,290.45 | 13,637,671.01 | 7,573,032.84 | 34,130,375.42 | 33,870,375.42 | 0.00 | 33,870,375.42 | 18,284,068.74 | 115 % | |
| Other Service Income | 4020199099 | 240,775,232.66 | 33,359,790.90 | 22,899,036.30 | 136,739,874.86 | 16,841,372.07 | 209,640,074.13 | 209,651,564.13 | 0.00 | 209,651,564.13 | (31,135,158.53) | -13 % | |
| Non-Revenue Collections/Other Receipts | | 0.00 | 24,870,106.04 | 90,400.00 | 73,829.07 | 153,482.92 | 25,187,818.03 | 24,340,373.06 | 847,444.97 | 25,187,818.03 | 25,187,818.03 | 0 % | |
| Cash Receipts | | 0.00 | 24,870,106.04 | 90,400.00 | 73,829.07 | 153,482.92 | 25,187,818.03 | 24,340,373.06 | 847,444.97 | 25,187,818.03 | 25,187,818.03 | 0 % | |
| Others | | 0.00 | 24,870,106.04 | 90,400.00 | 73,829.07 | 153,482.92 | 25,187,818.03 | 24,340,373.06 | 847,444.97 | 25,187,818.03 | 25,187,818.03 | 0 % | |
| Petty Cash | 1010102000 | 0.00 | 14,846.03 | 0.00 | 37,576.09 | 0.00 | 52,422.12 | 0.00 | 52,422.12 | 52,422.12 | 52,422.12 | 0 % | |
| Due from Officers and Employees | 1030502000 | 0.00 | 0.00 | 4,000.00 | 3,859.12 | 16,601.14 | 24,460.26 | 0.00 | 24,460.26 | 24,460.26 | 24,460.26 | 0 % | |
| Other Receivables | 1030599000 | 0.00 | 22,300,487.49 | 0.00 | 0.00 | 0.00 | 22,300,487.49 | 22,300,487.49 | 0.00 | 22,300,487.49 | 22,300,487.49 | 0 % | |
| Advances for Special Disbursing Officer | 1990103000 | 0.00 | 0.00 | 0.00 | 0.00 | 92,879.12 | 92,879.12 | 0.00 | 92,879.12 | 92,879.12 | 92,879.12 | 0 % | |
| Advances to Officers and Employees | 1990104000 | 0.00 | 2,500.00 | 0.00 | 0.00 | 6,010.00 | 8,510.00 | 0.00 | 8,510.00 | 8,510.00 | 8,510.00 | 0 % | |
| Due to BIR | 2020101000 | 0.00 | 0.00 | 83,250.00 | 24,993.86 | 26,053.89 | 134,297.85 | 0.00 | 134,297.85 | 134,297.85 | 134,297.85 | 0 % | |
| Government Equity | 3010101000 | 0.00 | 2,552,272.52 | 3,150.00 | 0.00 | 7,984.00 | 2,563,406.52 | 2,039,885.57 | 523,520.95 | 2,563,406.52 | 2,563,406.52 | 0 % | |
| Miscellaneous Income | 4060999000 | 0.00 | 0.00 | 0.00 | 0.00 | 3,954.67 | 3,954.67 | 0.00 | 3,954.67 | 3,954.67 | 3,954.67 | 0 % | |
| Mobile | 5020502001 | 0.00 | 0.00 | 0.00 | 7,400.00 | 0.00 | 7,400.00 | 0.00 | 7,400.00 | 7,400.00 | 7,400.00 | 0 % | |
| TOTAL | | 392,096,429.21 | 112,855,931.87 | 80,649,716.46 | 200,747,584.25 | 57,876,407.56 | 452,129,640.14 | 451,041,466.42 | 647,444.97 | 451,888,911.39 | 60,033,210.93 | 15 % | |

Certified Correct:

 MA ALISA A. JUMANOH
 OIC, Accounting Division

Recommending Approval:

 DONDIEO. SEGUERRA
 Director, Financial Management Department

Approved By:

 Chairperson
 Date:

Date:

Date:

Date:

QUARTERLY REPORT OF REVENUE AND OTHER RECEIPTS

As at the Quarter Ending December 31, 2021

Department : Department of Finance (DOF)
 Agency : Securities and Exchange Commission
 Operating Unit : < not applicable >
 Organization Code (UACS) : 11 011 0000000
 Fund Cluster : 05 - Internally Generated Funds

| Classification/Sources of Revenue and Other Receipts | UACS CODE | REVENUE TARGET (Annual) per BESF | Actual Revenue and Other Receipts Collections | | | | | Cumulative Remittance/Deposits to Date | | | Variance | | Remarks |
|--|------------|----------------------------------|---|----------------------------|-----------------------------|----------------------------|-------------------------|--|-------------------------|-------------------------|-------------------------|-----------|-------------|
| | | | 1st Quarter Ending March 31 | 2nd Quarter Ending June 30 | 3rd Quarter Ending Sept. 30 | 4th Quarter Ending Dec. 31 | Total | Remittance to BTr | Deposited with AGDB | TOTAL | Amount | % | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8=[(6+(-)7)-8+9] | 9 | 10 | 11=(9+10) | 12=(8-3) | 13=(12/3) | 14 |
| Internally Generated Funds | | 1,917,224,036.38 | 570,110,158.82 | 1,058,085,739.50 | 819,683,091.36 | 1,149,345,097.72 | 3,597,224,087.40 | 0.00 | 3,618,140,005.36 | 3,618,140,005.36 | 1,680,000,051.02 | | 88 % |
| Revenue Collections | | 1,917,224,036.38 | 570,110,158.82 | 1,058,085,739.50 | 819,683,091.36 | 1,149,345,097.72 | 3,597,224,087.40 | 0.00 | 3,618,140,005.36 | 3,618,140,005.36 | 1,680,000,051.02 | | 88 % |
| Cash Revenue | | 1,917,224,036.38 | 570,110,158.82 | 1,058,085,739.50 | 819,683,091.36 | 1,149,345,097.72 | 3,597,224,087.40 | 0.00 | 3,618,140,005.36 | 3,618,140,005.36 | 1,680,000,051.02 | | 88 % |
| Non-Tax | | 1,817,224,036.38 | 570,110,158.82 | 1,058,085,739.50 | 819,683,091.36 | 1,149,345,097.72 | 3,597,224,087.40 | 0.00 | 3,618,140,005.36 | 3,618,140,005.36 | 1,680,000,051.02 | | 88 % |
| Registration Fees | 4020102000 | 1,735,895,382.31 | 424,093,761.15 | 1,010,692,076.05 | 760,942,628.77 | 1,045,523,767.99 | 3,245,252,233.86 | 0.00 | 3,265,278,325.58 | 3,265,278,325.58 | 1,509,356,851.65 | | 87 % |
| Licensing Fees | 4020106000 | 27,203,237.73 | 1,897,298.03 | 2,215,955.82 | 1,978,503.68 | 23,036,379.37 | 29,128,136.90 | 0.00 | 29,144,711.90 | 29,144,711.90 | 1,924,899.17 | | 7 % |
| Fines and Penalties - Service Income | 4020114000 | 99,042,984.25 | 27,473,227.69 | 27,005,005.05 | 36,558,181.62 | 57,112,178.61 | 148,148,592.97 | 0.00 | 148,758,263.18 | 148,758,263.18 | 49,105,808.72 | | 50 % |
| Other Service Income | 4020199099 | 54,949,150.03 | 116,645,871.95 | 18,172,702.58 | 20,203,777.29 | 19,672,771.75 | 174,695,123.57 | 0.00 | 174,958,704.70 | 174,958,704.70 | 119,745,973.54 | | 218 % |
| Seminar/Training Fees | 4020204000 | 133,282.06 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (133,282.06) | | -100 % |
| TOTAL | | 1,917,224,036.38 | 570,110,158.82 | 1,058,085,739.50 | 819,683,091.36 | 1,149,345,097.72 | 3,597,224,087.40 | 0.00 | 3,618,140,005.36 | 3,618,140,005.36 | 1,680,000,051.02 | | 88 % |

Certified Correct:

Ma Alisa A. Mangit
 MA. ALISA A. MANGIT
 OIC, Accounting Division

Date:

Recommending Approval:

Ar
 DONDELA ESQUERRA
 Director, Financial Management Department

Date:

Approved By:

Emilio R. ...
 EMILIO R. ...
 Chairperson

Date:

QUARTERLY REPORT OF REVENUE AND OTHER RECEIPTS
As at the Quarter Ending December 31, 2021

Department : Department of Finance (DOF)
Agency : Securities and Exchange Commission
Operating Unit : < not applicable >
Organization Code (UACS) : 11 011 0000000
Fund Cluster : 07 - Trust Receipts

| Classification/Sources of Revenue and Other Receipts | UACS CODE | REVENUE TARGET (Annual) per BESF | Actual Revenue and Other Receipts Collections | | | | | Cumulative Remittance/Deposits to Date | | | Variance | | Remarks |
|--|-----------|----------------------------------|---|----------------------------|-----------------------------|----------------------------|-----------------------|--|---------------------|-----------------------|-----------------------|------------|---------|
| | | | 1st Quarter Ending March 31 | 2nd Quarter Ending June 30 | 3rd Quarter Ending Sept. 30 | 4th Quarter Ending Dec. 31 | Total | Remittance to BTr | Deposited with AGDB | TOTAL | Amount | % | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8=[(6+(-)7)-8+9] | 9 | 10 | 11=(9+10) | 12=(8-3) | 13=(12/3) | 14 |
| Trust Receipts | | 0.00 | 127,207,351.78 | 43,306,513.89 | 33,784,814.70 | 21,007,681.40 | 225,306,361.75 | 225,518,167.07 | 0.00 | 225,518,167.07 | 225,306,361.75 | 0 % | |
| Inter-Agency Transferred Funds (IATF) Deposited with the National Treasury | | 0.00 | 127,036,851.78 | 42,176,513.89 | 30,572,631.36 | 16,653,794.64 | 218,439,791.65 | 218,651,596.97 | 0.00 | 218,651,596.97 | 218,439,791.65 | 0 % | |
| Legal Research Fee - University of the Philippines | | 0.00 | 5,005,313.23 | 10,881,672.29 | 8,349,052.97 | 11,232,911.47 | 35,469,150.06 | 35,670,965.38 | 0.00 | 35,670,965.38 | 35,469,150.06 | 0 % | |
| Documentary Stamp Tax | | 0.00 | 2,113,410.00 | 1,688,910.00 | 1,782,540.00 | 1,837,650.00 | 7,422,510.00 | 7,432,500.00 | 0.00 | 7,432,500.00 | 7,422,510.00 | 0 % | |
| BIR Remittance (TRA) - Due from Other Funds | | 0.00 | 119,918,128.53 | 29,605,731.50 | 20,441,038.39 | 5,583,233.17 | 175,548,131.59 | 175,548,131.59 | 0.00 | 175,548,131.59 | 175,548,131.59 | 0 % | |
| Other Trust Receipts Deposited with the National Treasury | | 0.00 | 170,500.00 | 1,130,000.00 | 3,212,183.34 | 2,353,886.76 | 6,866,570.10 | 6,866,570.10 | 0.00 | 6,866,570.10 | 6,866,570.10 | 0 % | |
| Seminar/Training Fees | | 0.00 | 170,500.00 | 570,000.00 | 855,500.00 | 106,620.00 | 1,702,520.00 | 1,702,520.00 | 0.00 | 1,702,520.00 | 1,702,520.00 | 0 % | |
| Rent/Lease Income | | 0.00 | 0.00 | 560,000.00 | 2,240,000.00 | 2,240,000.00 | 5,040,000.00 | 5,040,000.00 | 0.00 | 5,040,000.00 | 5,040,000.00 | 0 % | |
| Receivables-Disallowance | | 0.00 | 0.00 | 0.00 | 116,683.34 | 7,366.76 | 124,050.10 | 124,050.10 | 0.00 | 124,050.10 | 124,050.10 | 0 % | |
| TOTAL | | 0.00 | 127,207,351.78 | 43,306,513.89 | 33,784,814.70 | 21,007,681.40 | 225,306,361.75 | 225,518,167.07 | 0.00 | 225,518,167.07 | 225,306,361.75 | 0 % | |

Certified Correct:

MA. ALISA A. JUMANGIT
MA. ALISA A. JUMANGIT
OIC, Accounting Division

Date:

Recommending Approval:

DONDILO B. GUERRA
DONDILO B. GUERRA
Director, Financial Management Department

Date:

Approved By:

EMILIO A. ALONSO
EMILIO A. ALONSO
Chairperson

Date: